

**Attachment B: Accredited Investor Certification**

I \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ (print or type name) certify that the information provided above is correct, and that I am an Accredited Investor as set forth by the [SEC in Rule 501 of Regulation D.3](https://www.sec.gov/education/capitalraising/building-blocks/accredited-investor).

Individual Accredited Investor Qualifications

* Net worth over $1 million, excluding primary residence (individually or with spouse or partner)
* Income over $200,000 (individually) or $300,000 (with spouse or partner) in each of the prior two years, and reasonably expects the same for the current year
* Investment professionals in good standing holding the general securities representative license (Series 7), the investment adviser representative license (Series 65), or the private securities offerings representative license (Series 82)
* Directors, executive officers, or general partners (GP) of the company selling the securities (or of a GP of that company)
* Any “family client” of a “family office” that qualifies as an accredited investor
* For investments in a private fund, “knowledgeable employees” of the fund

Entity Accredited Investor Qualifications

* Entities owning investments in excess of $5 million The following entities with assets in excess of $5 million: corporations, partnerships, LLCs, trusts, 501(c)(3) organizations, employee benefit plans, “family office” and any “family client” of that office Entities where all [equity](https://www.sec.gov/education/glossary/jargon-z#Equity) owners are accredited investors
* [Investment advisers](https://www.sec.gov/education/glossary/jargon-z#IA) (SEC- or state-registered or exempt reporting advisers) and [SEC-registered broker-dealers](https://www.sec.gov/education/capitalraising/building-blocks/broker-dealer.pdf)
* A bank, savings and loan association, insurance company, registered investment company, business development company, or small business investment company or rural business investment company

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| --- | --- |
| Investor Name |  |
| Address |  |
| Name of the Entity Invested In |  |
| Date of Investment |  |
| Amount of Investment |  |

Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_